

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|--|---|--|
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER KON-2116 |
| | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) |
| INTERNATIONAL APPLICATION NO. PCT/JP2005/004678 | INTERNATIONAL FILING DATE 16.03.2005 (March 16, 05) | PRIORITY DATE CLAIMED 31-03-2004 (March 3, 2004) |
| TITLE OF INVENTION Organic Electrolumnescent Device Material, Organic Electroluminescent Device, Display and Illuminating Device | | |
| APPLICANT(S) FOR DO/EO/US Tomohiro OSHIYAMA, et al | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <div style="margin-left: 20px;">1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</div> <div style="margin-left: 20px;">2K <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</div> <div style="margin-left: 20px;">3K <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</div> <div style="margin-left: 20px;">4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</div> <div style="margin-left: 20px;">RK <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<div style="margin-left: 40px;">a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). 1 page only</div><div style="margin-left: 40px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</div><div style="margin-left: 40px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</div></div> <div style="margin-left: 20px;">6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<div style="margin-left: 40px;">a. <input checked="" type="checkbox"/> is attached hereto.</div><div style="margin-left: 40px;">b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</div></div> <div style="margin-left: 20px;">7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<div style="margin-left: 40px;">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</div><div style="margin-left: 40px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</div><div style="margin-left: 40px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</div><div style="margin-left: 40px;">d. <input type="checkbox"/> have not been made and will not be made.</div></div> <div style="margin-left: 20px;">8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</div> <div style="margin-left: 20px;">9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</div> <div style="margin-left: 20px;">10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</div> | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <div style="margin-left: 20px;">11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</div> <div style="margin-left: 20px;">12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</div> <div style="margin-left: 20px;">13. <input checked="" type="checkbox"/> A preliminary amendment.</div> <div style="margin-left: 20px;">14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</div> <div style="margin-left: 20px;">15. <input type="checkbox"/> A substitute specification.</div> <div style="margin-left: 20px;">16. <input type="checkbox"/> A power of attorney and/or change of address letter.</div> <div style="margin-left: 20px;">17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</div> <div style="margin-left: 20px;">18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</div> <div style="margin-left: 20px;">19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</div> | | |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | | | |
|--|--------------|--|---------|--|----|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) | | INTERNATIONAL APPLICATION NO. PCT/JP2005/004678 | | \$772 51 (Y'6 D2 C. (7 180 B(5 KON-2116 | |
| 20. Other items or information: International Search Report | | | | | |
| The following fees have been submitted | | | | CALCULATIONS PTO USE ONLY | |
| 21. <input checked="" type="checkbox"/> BDMF nDlRnDQH (37 C) 5 1.492(D))..... \$300 | | | | \$ 300.00 | |
| 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) | | | | | |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared Ey ,3(\$/86 inGFDiHV DQclaiP VVDiMy SURYMRnV R 3C7 \$ UicH33(1)-(4)..... \$0 \$ QRhHUMtuDlRnV.....\$200 | | | | \$ 200.00 | |
| 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by ,3(\$/86 inGFDiHV DQclaiP VVDiMy SURYMRnV R 3C7 \$ UicH33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an ,ntHlDlRnDQ6 HDUPhing \$ uthRlly.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or SUMRlVly FRP P unFDiHGtRthH86 Ey thH,B..... \$400 \$ QRhHUMtuDlRnV.....\$500 | | | | \$ 400.00 | |
| TOTAL OF 21, 22 and 23 = | | | | \$900.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| - 100 = | /50 = | | x \$250 | \$ | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EuTRA | RATE | \$ | |
| Total claims | - 20 = | | x \$ 50 | \$ | |
| Independent claims | - 3 = | | x \$200 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | |
| SUBTOTAL = | | | | \$ 900.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 900.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ 900.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged | \$ |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2275. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No. 20311
Donald C. Lucas
Lucas & Mercanti, LLP
475 Park Avenue South, 15th Floor
New York, NY 10016
Tel: 212-661-8000



SIGNATURE

Donald C. Lucas

NAME

31,275

REGISTRATION NUMBER